FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
OMB Number:	3235-02

87 Estimated average burden 0.5 hours per response:

Ownership

(Instr. 4)

or Indirect

(I) (Instr. 4)

### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading Symbol MICROSOFT CORP [ MSFT ] Nadella Satya Director 10% Owner Officer (give title Other (specify X below) below) (Last) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (First) 09/04/2019 Chief Executive Officer C/O MICROSOFT CORPORATION ONE MICROSOFT WAY 6. Individual or Joint/Group Filing (Check Applicable 4. If Amendment, Date of Original Filed (Month/Day/Year) Line) (Street) Form filed by One Reporting Person 98052-5399 REDMOND WA Form filed by More than One Reporting (City) (State) (Zip) Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Table I -4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature Date **Execution Date** Transaction Securities Form: Direct of Indirect (Month/Day/Year if any (Month/Day/Year) Code (Instr Beneficially (D) or Indirect Beneficial 8) Owned Following (I) (Instr. 4) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Code ν Amount Price Common Stock 09/04/2019 89,584(1) \$137.1576(2) 1,124,339 D S D 1,114,086 09/04/2019 S 10,253(1) \$137.5399(3) Common Stock D D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 7. Title and 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 9. Number of 10. 11. Nature Derivative Conversion Execution Date **Expiration Date** Amount of Ownership (Month/Day/Year) Security or Exercise if anv Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form: Beneficial Beneficially Direct (D) (Instr. 3) (Month/Day/Year)

# **Explanation of Responses:**

Price of

Security

Derivative

- 1. The sales reported on this Form 4 were made pursuant to a Rule 10b5-1 trading plan adopted on August 10, 2018.
- 2. This transaction was executed in multiple trades at prices ranging from \$136.50 to \$137.49. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares and prices at which the transactions were effected

Date

Exercisable

Expiration

Securities

Acquired

(A) or Disposed

of (D) (Instr. 3, 4

and 5)

(A) (D)

3. This transaction was executed in multiple trades at prices ranging from \$137.50 to \$137.64. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares and prices at which the transactions were effected

## Remarks:

Ann Habernigg, Attorney-in-Fact for Satya Nadella

Underlying

Security (Instr. 3 and 4)

Amount Number

Shares

Derivative

Title

(Instr. 5)

Owned

Following

Reported

Transaction(s) (Instr. 4)

09/05/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

8)

Code ν

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.