| SEC For | rm 4 | | | | | | | | | | | | | | | | | | | |
|--|----------------------|-----|---|----------------------|---|--|-------------------------|-------|---|--------|---|--|--|---|---------------------------------------|--|---|----------|--|--|
| FORM 4 | | | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | | | | OMB APPROVAL | | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | STATEMENT OF CHANGES IN BENEFICIAL OWNE Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | SHIP | Estim | Numbe nated av s per res | erage burde | 3235-0287 en 0.5 | | | |
| 1. Name and Address of Reporting Person* PRITZKER PENNY S | | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>MICROSOFT CORP</u> [MSFT] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title Other (specify below) below) | | | | | | |
| (Last) (First) (Middle) C/O MICROSOFT CORPORATION ONE MICROSOFT WAY | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/10/2020 | | | | | | | | | | | | | | |
| (Street) REDMC | 98052-6399 | | 4. If Am | Line) X Form file | | | | | | | | iled by On iled by Mo | bint/Group Filing (Check Applicable ed by One Reporting Person ed by More than One Reporting | | | | | | | |
| (City) | (City) (State) (Zip) | | | | | | | | | | | | | | | | | | | |
| | | Tab | le I - Nor | n-Deriv | ative Se | ecurities Ac | quire | ed, D | Disp | osed o | of, o | r Bene | eficial | ly Owned | k | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | action Day/Year) | 2A. Deemed Execution Date if any (Month/Day/Yea | C0 | insaction de (Instr. | | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) | | | | Benefici | s ally ollowing | Form (D) or | nership : Direct r Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | Co | de | v | Amount | | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | (| | |
| Common Stock | | | | | | | | | | | | | | 12 | ,000 | | Ι | By Trust | | |
| | | T | | | | urities Acq ls, warrants | | | | | | | | Owned | | | | | | |
| Derivative Conversion Date Execution Date, T Security or Exercise (Month/Day/Year) if any C | | | 4. Transaction Code (Instr 8) | | Expiration Date (Month/Day/Year) Amount of Securities ired r ssed) 3, 4 | | | | | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | | | | |

Stock (1) 12/ Units Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Microsoft common stock.

12/10/2020

2. Dividend equivalent rights accrue when and as dividends are paid on the Company's common stock and become exercisable proportionately with the restricted stock units to which they relate.

(A) (D)

20⁽²⁾

3. The restricted stock units are fully vested. Delivery of the shares to the reporting person will be made thirty days after the date of the reporting person's separation from service to the Board of Directors.

Date Exercisable

(3)

Expiration Date

(3)

Title

Common

Stock

Remarks:

Restricted

<u>Ann Habernigg, Attorney-in-</u> <u>fact for Penny S. Pritzker</u>

Amount or Number

of Shares

20

\$<mark>0</mark>

<u>12/11/2020</u>

7,670

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.