| SEC Form 4 | |
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| FORM | 4 |

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
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| 1. Name and Add COURTOIS | | | 2. Issuer Name and Ticker or Trading Symbol <u>MICROSOFT CORP</u> [MSFT] – | (Check | ationship of Reporting Pe (all applicable) Director Officer (give title | erson(s) to Issuer 10% Owner Other (specify |
|---|---------------|---------------------|--|------------------------|---|---|
| (Last) (First) (Middle) C/O MICROSOFT CORPORATION ONE MICROSOFT WAY | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/14/2020 | - X | below) Executive Vice | below) President |
| (Street) REDMOND (City) | WA (State) | 98052-6399 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | vidual or Joint/Group Fili Form filed by One Re Form filed by More th Person | porting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--|---|---|---|-----------------------|---|-------------------|--|--|---|--|
| | | | Code | v | Amount | | | Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 09/14/2020 | | A | | 22,170 ⁽¹⁾ | A | \$ <mark>0</mark> | 612,273 | D | | |
| Common Stock | | | | | | | | 197,883 | I | Held through "contrat d'assurance vie" | |
| Common Stock | | | | | | | | 59,699 | I | Held through "contrat d'assurance vie ⁽²⁾ | |
| Common Stock | | | | | | | | 16,400 | I | Held trhough "contrat de capitalisation" ⁽³⁾ | |
| Common Stock | | | | | | | | 16,400 | I | Held through "contrat de capitalisation" ⁽³⁾ | |
| Common Stock | | | | | | | | 16,400 | I | Held through "contrat de capitalisation" ⁽³⁾ | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code (| Transaction of Code (Instr. Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Expiration Date (Month/Day/Year) ed | | Expiration Date | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|--------|---|-----|--|---------------------|---|-------|--|--|---|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | |

Explanation of Responses:

1. Represents Stock Award under the Executive Incentive Plan that will vest over four years with 25% vesting on September 14, 2021, and then 12.5% vesting each six months thereafter, subject to continued employment.

2. Held through "contrat d'assurance vie," a form of life insurance program, where the reporting person maintains investment control over the Microsoft shares held in the program. Beneficiaries of the program include the reporting person's children. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein.

3. Held through "contrat de capitalisation," a form of life insurance program for the benefit of the reporting person's two children, or inheritor, where the reporting person maintains investment control over the Microsoft shares held in the program. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or any other purpose.

Remarks:

| Ann Habernigg, Attorney-in- |
|----------------------------------|
| Fact for Jean-Philippe |
| Courtois |
| ** Signature of Reporting Person |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

erson Date

09/16/2020

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.