FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|   | OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|---|--------------------------|-----------|--|--|--|--|--|--|--|--|
|   | OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| 1 | Estimated average hurden |           |  |  |  |  |  |  |  |  |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |   |  |                |   | UI     | Occiloi   | 1 30(11)   | OI LIN  | , iiivcc | ourierit C                        | Julipariy Act   | 01 1340   |   |   |                          |   |   |  |  |
|--|---|--|----------------|---|--------|---|--|---|----------|-----------------------------------|---|---|---|---|--------------------------|---|---|--|--|
| 1. Name and Address of Reporting Person* <u>Bates Anthony John</u> |   |  |                |   |        | 2. Issuer Name and Ticker or Trading Symbol MICROSOFT CORP [ MSFT ] |  |   |          |                                   |   |   |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |                          |   |   |  |  |
|  |   |  |                |   |        |   | 000  |   |          |                                   | .011  |   |   |   | Direc                    |   | 10% (   |  |  |
| (Last)   | Last) (First) (Middle)  |  |                |   |        |   | 3. Date of Earliest Transaction (Month/Day/Year) |   |          |                                   |   |   |   | X   | belov                    | ,   | Other<br>below<br>v/Evangelisn                                    | <b>'</b>   |  |
| C/O MICROSOFT CORPORATION  |   |  |                |   |        | 11/14/2013  |  |   |          |                                   |   |   |   |   | L                        | v i, bus be   | .v/Lvangensn  | 1  |  |
| ONE MICROSOFT WAY  |   |  |                |   |        |   |  |   |          |                                   |   |   |   |   |                          |   |   |  |  |
|  |   |  |                |   | _ 4.1  | 4. If Amendment, Date of Original Filed (Month/Day/Year)            |  |   |          |                                   |   |   | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |                          |   |   |  |  |
| (Street)   |   |  |                |   |        |   |  |   |          |                                   |   |   | ا   | me)<br>X  | Eorn                     | n filed by One  | Danorting Dars  | on   |  |
| REDMOND WA 98052-6399  |   |  | 2-6399         |   |        |   |  |   |          |                                   |   |   | Λ   | Form filed by One Reporting Person  Form filed by More than One Reporting |                          |   |   |  |  |
|  |   |  | -              |   |        |   |  |   |          |                                   |   |   | Pers  |   | e man One Kep            | orting  |   |  |  |
| (City)   | (St   | tate) (                                    | (Zip)          |   |        |   |  |   |          |                                   |   |   |   |   |                          |   |   |  |  |
|  |   | Tabl                                       | le I -         | Non-Deriv   | /ative | Sec   | uritie   | s A   | cquii    | ed, D                             | isposed o   | f, or E   | Benefici  | ally (  | Owne                     | ed  |   |  |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye |   |  |                |   |        | Execution   |  | on Date,  |          | action<br>(Instr.                 | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and |   | (A) or<br>. 3, 4 and 5                                      | d 5) S  |                          | ount of<br>ities<br>ficially<br>d Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |  |
|  |   |  |                |   |        |   |  |   | Code     | v                                 | Amount  | (A) or<br>(D)   | Price   |   |                          | action(s)<br>3 and 4)   |   | (Instr. 4)   |  |
| Common Stock 11/14/2013  |   |  |                |   | 13     | 3   |  |   | S        |                                   | 14,000(1)   | D \$37  |   | 104 <sup>(2)</sup>  |                          | 87,723  | D   |  |  |
|  |   | Та   | able I         | II - Derivat<br>(e.g., p                                    |        |   |  |   |          |                                   | posed of,<br>convertib  |   |   | y Ov  | ned                      |   |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | Exec<br>if any | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |        | 4.<br>Transaction<br>Code (Instr.<br>8)                             |  | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |          | ate Exer<br>iration C<br>nth/Day/ |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. )<br>and 4) |   | 8. Pri<br>Deriv<br>Secu<br>(Instr   | vative<br>irity<br>r. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |                |   | Codo   | V   | (A)  | (5)   | Date     | e<br>roisable                     | Expiration  | Title   | Amount<br>or<br>Number<br>of                                |   |                          |   |   |  |  |

## **Explanation of Responses:**

- 1. The sale was made under a 10b5-1 plan previously adopted by the reporting person.
- 2. This transaction was executed in multiple trades at prices ranging from \$37.735 to 38.125. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

## Remarks:

<u>Keith R. Dolliver, Attorney-in-fact for Anthony J. Bates</u> <u>11/15/2013</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.